

HAYES SOLOWAY PC
130 W. CUSHING STREET
TUCSON, ARIZONA 85701
(520) 882-7623
(520) 882-7643-FAX

FACSIMILE TRANSMITTAL SHEET

TO: Office of Initial Patent Examination's
Filing Receipt Corrections

FROM: Norman P. Soloway

COMPANY: U.S. Patent Office

DATE: May 13, 2004

FAX NUMBER: 703-746-9195

TOTAL NO. OF PAGES
INCLUDING COVER SHEET: 8

PHONE NUMBER: 520-882-7623

OUR REFERENCE: NEC 01207 DIV2

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY

NOTES/COMMENTS:

REQUEST FOR CORRECTION OF FILING RECEIPT

In re Appln. Of: USAMI
Serial No.: 10/695,159
Filed: October 28, 2003
For: Semiconductor Device and Method of Manufacturing the Same
Docket: NEC 01207 DIV2

Received: 1. Request for Correction of Filing Receipt (2 pg)
2. Copy of Filing Receipt w/ Marked Corrections (2 pgs)
3. Copy of page 1 of Utility Patent Appln. Transmittal (1 pg)
4. Copy of pages 1 and 2 of Preliminary Amendment (2 pgs)

5/13/04 kmg

THE DOCUMENTS ACCOMPANYING THIS TELEFAX TRANSMISSION ARE CONFIDENTIAL AND LEGALLY PRIVILEGED. THIS INFORMATION IS ONLY INTENDED FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF YOU ARE NOT THE NAMED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR TAKING OF THIS INFORMATION FOR ANY USE WHATSOEVER IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY VIA TELEPHONE AND RETURN THE ORIGINAL FACSIMILE TO US AT THE ABOVE ADDRESS BY THE POSTAL SERVICE. THANK-YOU.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: USAMI
SERIAL NO: 10/695,159
FILED: October 28, 2003
FOR: Semiconductor Device and Method of Manufacturing the Same
GROUP: 2811 DOCKET: NEC 01207 DIV2

Office of Initial Patent Examination's Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

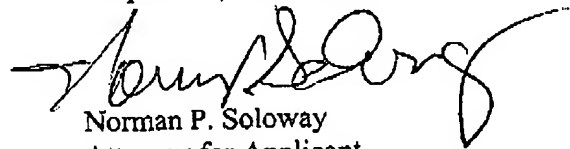
We enclose a copy of the filing receipt in which we have marked the correction for a clerical error contained therein, i.e., "CON of 10/260,947" should be "DIV of 10/260,947".

Also enclosed is a copy of page one of the Utility Patent Application Transmittal and a copy of pages one and two of the Preliminary Amendment as support of the correct information.

We respectfully request that you correct your records and send a corrected filing receipt.

We believe there are no fees involved with the correction. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

HAYES SOLOWAY P.C.
130 W. CUSHING STREET
TUCSON, AZ 85701
TEL. 520.882.7623
FAX. 520.882.7643

175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668.1400
FAX. 603.668.8567

Serial No. 10/695,159
Docket No. NEC 01207 DIV2
Request for Correction of Filing Receipt

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, at number 703-746-9195 on May 13, 2004 at Tucson, Arizona.

By: *Kathryn Grimes*

HAYES SOLOWAY P.C.
130 W. CUSHING STREET
TUCSON, AZ 85701
TEL. 520.882.7623
FAX. 520.882.7643

175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668.1400
FAX. 603.668.8567



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/695,159	10/28/2003	2811	770	NEC 01207 DIV2	22	5	1

RECEIVED

CONFIRMATION NO. 7174

Norman P. Soloway
 HAYES SOLOWAY P.C.
 130 W. Cushing Street
 Tucson, AZ 85701

MAY - 6 2004

FILING RECEIPT

100000000012506713
 "OC000000012506713"

HAYES SOLOWAY

Date Mailed: 05/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tatsuya Usami, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

This application is a ^{DIV} of 10/260,947 09/30/2002
 which is a DIV of 09/910,994 07/23/2001 PAT 6,514,852

Foreign Applications

JAPAN 2000-222414 07/24/2000

If Required, Foreign Filing License Granted: 04/30/2004

Projected Publication Date: 08/12/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor device and method of manufacturing the same

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Express Mail Label No. ER1889187 TS

COPY**UTILITY PATENT APPLICATION TRANSMITTAL**
(Large Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
NEC 01207 DIV2Total Pages in this Submission
4**TO THE COMMISSIONER FOR PATENTS**Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

and invented by:

Tatsuya USAMIIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/260,947

Which is a:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/910,994

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 28 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure